

Self-Certification for Individuals

For the purposes of FATCA and the Guernsey Intergovernmental Agreements (IGAs) and the Common Reporting Standard (CRS)

Tax regulations¹ require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you), we may be obliged to share information about your account with the States of Guernsey Income Tax Authority, who may pass this onto other tax or competent authorities. If you have any questions about your tax residency, please contact your tax adviser. Should any of the information provided change in the future, please ensure you advise us of the changes promptly.

Tax residence

Please indicate **all** countries in which you are resident for tax purposes and the associated Tax Reference Number (or National Insurance Number/Social Security Number) in the tables provided. If you are a resident of the UK please provide your National Insurance Number in the tax reference box. If you are a US citizen or resident, please include the United States in this table along with your US Tax Identification Number (TIN). If you are a resident of Gibraltar, Jersey or Guernsey, please provide your Social Security Number in the tax reference box.

Client name

Full Name:	
Place of Birth:	Date of Birth:
Please confirm if you are a US Citizen <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide your Tax Identification Number in the table below.	
Please confirm if you are a UK resident <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide your National Insurance Number in the table below.	
Jurisdiction (s) of tax residence	Tax Identification Number/Social Security Number National Insurance Number
If you are not a resident in any jurisdiction for tax purposes, please tick here <input type="checkbox"/>	
If you have renounced any nationality please provide details below and provide a certified copy of any certificates of loss of nationality.	
Nationality/Country:	Date renunciation confirmed:
Do you have dual citizenship? <input type="checkbox"/> Yes <input type="checkbox"/> No. If yes please state the country.	Country:

¹The term 'Tax regulations' refers to regulations created to enable automatic exchange of information and include FATCA various Agreements to improve international Tax Compliance entered into between the UK and Guernsey and the OECD Common Reporting Standard for the Automatic Exchange of Financial Account Information. The term FATCA refers to the Foreign Account Tax Compliance Act.

Self-Certification for Individuals continued...

Declaration

1. I declare that all information provided in this form is, to the best of my knowledge and belief, accurate and complete.
2. I undertake to advise Overseas Trust and Pension Limited ("OTAP") promptly of any circumstances which causes the information contained herein to become incorrect or incomplete and to provide OTAP with an updated declaration within 30 days of such a change in circumstances.
3. I am aware that in certain circumstances OTAP will be obliged to share this information with Guernsey tax authorities, who may pass it on to other tax authorities.

Client

Signature of Applicant	Full Name:
	Date:

For Internal Use Only - Reasonableness Test

In accordance with The Income Tax (Approved International Agreement) (Implementation) (United Kingdom and United States of America) Regulations 2014, we must review information provided in this Self-Certification form and compare it against existing CDD and other data held and confirm the reasonableness of the information provided as follows:

- Tax information provided in Self-Certification form agreed to existing CDD held
- Tax information provided in Self-Certification form agreed to other data held
- Tax information provided in Self-Certification form agreed to take-on documentation
- Tax information inconsistent with existing CDD or other data held
 - Inconsistencies queried with contact and resolved satisfactorily
 - Inconsistencies queried with contact and remain unresolved
- Self-Certification considered reasonable: Yes No

Assessed By:	Date:
Reviewed By:	Date:

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Overseas Trust and Pension (OTAP) is the trading name of Overseas Trust and Pension Limited, Overseas Pensions and Benefits Limited and Carey Pensions Administration Limited, (the Companies). The Companies are licensed by the Guernsey Financial Services Commission under the regulation of Fiduciaries, Administration Businesses and company Directors, etc (Bailiwick of Guernsey) law, 2000. Overseas Trust and Pension Limited and Overseas Pensions and Benefits Limited are registered in Guernsey numbers: 55506 and 39935 respectively. Their registered office is 2nd Floor, Elizabeth House, Les Ruettes Brayes, St Peter Port, Guernsey, GY1 1EW. Carey Pensions Administration Limited is registered in Alderney number: 1427 and its registered office is Millennium House, Ollivier Street, St Anne, Alderney, GY9 3TD.