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# Self-Certification for Individuals Form

For the purposes of FATCA and the Guernsey Intergovernmental Agreements (IGAs) and the Common Reporting Standard (CRS)

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## NOTES

Tax regulations<sup>1</sup> require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you), we may be obliged to share information about your account with the States of Guernsey Income Tax Authority, who may pass this onto other tax or competent authorities.

If you have any questions about your tax residency, please contact your tax adviser. Should any of the information provided change in the future, please ensure you advise us of the changes promptly.

<sup>1</sup>The term 'Tax regulations' refers to regulations created to enable automatic exchange of information and include FATCA various Agreements to improve international Tax Compliance entered into between the UK and Guernsey and the OECD Common Reporting Standard for the Automatic Exchange of Financial Account Information. The term FATCA refers to the Foreign Account Tax Compliance Act.

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## IMPORTANT INFORMATION

Overseas Trust and Pension (OTAP) is the brand name of Overseas Trust and Pension Ltd, Overseas Pensions and Benefits Ltd and Overseas Pensions Administration Ltd, (the Companies) are licensed by the Guernsey Financial Services Commission under the regulation of Fiduciaries, Administration Businesses and company Directors, etc (Bailiwick of Guernsey) law, 2000. Overseas Trust and Pension Ltd and Overseas Pensions and Benefits Ltd are registered in Guernsey numbers: 55506 and 39935 respectively. Their registered office is 2nd Floor, Elizabeth House, Les Ruettes Brayes, St Peter Port, Guernsey, GY1 1EW. Overseas Pensions Administration Ltd is registered in Alderney number: 1427 and its registered office is Millennium House, Ollivier Street, St Anne, Alderney, GY9 3TD.

Overseas Trust and Pension Limited is an authorised financial services provider in terms of the South African Financial Advisory and Intermediary Services Act ("FAIS") and is regulated by the Financial Sector Conduct Authority ("FSCA") of South Africa. FSP number 47261.

The Companies do not offer financial, investment or tax advice, any information provided should not be considered as such. The Companies accept no legal liability for losses, damages or expenses which you may incur or suffer directly or indirectly by using this information.

We endeavour to make sure the information is accurate and up-to-date however, no warranty is given as to the accuracy or completeness of any information and no liability is accepted for any errors or omissions in such information.

We strongly recommend that clients take regulated financial and investment advice relevant to their individual circumstances. It is the responsibility of clients and their advisers to review the advice and investments at least annually. The product terms, risks and charges (including: initial, annual and exit) should be considered, understood and agreed with your Financial/Investment Adviser.

Past performance is not a reliable indicator of future results. Investment values and the income from them can go down as well as up and may be affected by changes in rates of exchange. An investor may not receive back the amount initially invested.

Data Privacy Statement: Please refer to our Data Privacy Policy published on the Overseas Trust and Pension website: [www.trustandpension.com/legal-and-regulatory](http://www.trustandpension.com/legal-and-regulatory)

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## Section 1 - Tax Residence

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Number (or National Insurance Number/Social Security Number) in the tables provided. If you are a resident of the UK please provide your National Insurance Number in the tax reference box. If you are a US citizen or resident, please include the United States in this table along with your US Tax Identification Number (TIN). If you are a resident of Gibraltar, Jersey or Guernsey, please provide your Social Security Number in the tax reference box.

First Name(s) \_\_\_\_\_ Surname \_\_\_\_\_

Place of Birth \_\_\_\_\_ Date of Birth \_\_\_\_\_

Are you a US Citizen?  Yes  No If yes, please provide your Tax Identification Number: \_\_\_\_\_

Please confirm if you are a UK resident  Yes  No If yes, please provide your Tax Identification Number: \_\_\_\_\_

Jurisdiction (s) of tax residence if not US/UK \_\_\_\_\_ Tax Identification Number/Social Security Number/National Insurance Number \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

If you are not a resident in any jurisdiction for tax purposes, please tick here

If you have renounced any nationality, please provide details below and provide a certified copy of any certificates of loss of nationality.

Nationality/Country: \_\_\_\_\_ Date renunciation confirmed: \_\_\_\_\_

Do you have dual citizenship?  Yes  No If yes, please state the country \_\_\_\_\_

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## Section 2 - Declaration

1. I declare that all information provided in this form is, to the best of my knowledge and belief, accurate and complete.
2. I undertake to advise Overseas Trust and Pension Limited ("OTAP") promptly of any circumstances which causes the information contained herein to become incorrect or incomplete and to provide OTAP with an updated declaration within 30 days of such a change in circumstances.
3. I am aware that in certain circumstances OTAP will be obliged to share this information with Guernsey tax authorities, who may pass it on to other tax authorities.

Signature of Member \_\_\_\_\_

Name \_\_\_\_\_ Date \_\_\_\_\_

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# For internal use only - Reasonableness Test

In accordance with The Income Tax (Approved International Agreement) (Implementation) (United Kingdom and United States of America) Regulations 2014, we must review information provided in this Self-Certification form and compare it against existing CDD and other data held and confirm the reasonableness of the information provided as follows:

- Tax information provided in Self-Certification form agreed to existing CDD held
- Tax information provided in Self-Certification form agreed to other data held
- Tax information provided in Self-Certification form agreed to take-on documentation
- Tax information inconsistent with existing CDD or other data held 
  - Inconsistencies queried with contact and resolved satisfactorily
  - Inconsistencies queried with contact and remain unresolved
- Self-Certification considered reasonable:  Yes  No

Assessed by \_\_\_\_\_

Date \_\_\_\_\_

Reviewed by \_\_\_\_\_

Date \_\_\_\_\_