
Terms of Business Application Form

IMPORTANT INFORMATION

“Overseas Trust and Pension” or “OTAP” is the brand name of Overseas Trust and Pension Ltd, Overseas Pensions and Benefits Ltd and Overseas Pensions Administration Ltd, (collectively, the “Companies”). Overseas Trust and Pension Ltd and Overseas Pensions and Benefits Ltd are licensed by the Guernsey Financial Services Commission under the Regulation of Fiduciaries, Administration Businesses and Company Directors, etc (Bailiwick of Guernsey) Law, 2020. Overseas Trust and Pension Ltd and Overseas Pensions and Benefits Ltd are registered in Guernsey with company numbers: 55506 and 39935 respectively. Their registered office is Lefebvre Court, Third Floor, Block B, Lefebvre Street, St Peter Port, Guernsey, GY1 2JP. Overseas Pensions Administration Ltd is registered in Alderney number: 1427 and its registered office is Millennium House, Ollivier Street, St Anne, Alderney, GY9 3TD.

Overseas Trust and Pension Ltd is an authorised financial services provider, duly licenced in terms of the South African Financial Advisory and Intermediary Services Act, 2002 (“FAIS”) (FSP number 47261) and is regulated by the Financial Sector Conduct Authority (“FSCA”) of South Africa.

OTAP is subject to the Pension Licensees (Conduct of Business) & Domestic and International Pension Scheme and Gratuity Scheme Rules (No.2) 2021 as amended (“Conduct of Business Rules”).

This form serves as an application for membership to a personal pension plan to which OTAP acts as trustee and/or administrator. The operation of all such personal plans are subject to and should be interpreted in accordance with the laws and regulations of the island of Guernsey and the constitutional documents of the selected plan. If there is any conflict or inconsistency between any statement in this form and the respective plan, its constitutional documentation and/or its rules will prevail.

The Companies do not offer financial, investment or tax advice, any information provided should not be considered as such. The Companies accept no legal liability for losses, damages or expenses which you may incur or suffer directly or indirectly by using this information. We endeavour to ensure that the information provided by the Companies is accurate and up-to-date, however, no warranty is given as to the accuracy or completeness of any information and no liability is accepted for any errors or omissions in such information, products or services provided to you.

We strongly recommend that clients take financial and investment advice relevant to their individual circumstances from appropriately qualified, licenced and regulated service providers. It is the responsibility of clients and their advisers to review the financial advice provided to, and investments of, clients at least annually to ensure that they remain appropriate for the client. The product terms, risks and charges (including: initial, annual and exit charges) applicable to the investments should be considered, understood and agreed by the client with your financial/ investment adviser.

Past performance of investments is not a reliable indicator of future results. Investment values and the income they generate can go down as well as up and may be affected by changes in rates of exchange. An investor may not receive back the amount initially invested.

Please Note: This application form is to be read with the Companies’ standard terms of business (“Terms of Business”) which are available online and will be provided to you. The provisions of the Terms of Business are hereby incorporated by reference into this application form. By submitting this application form, the applicant and their adviser (if applicable) agree to be bound by the provisions of the Terms of Business.

Data Privacy Statement: Please refer to our Data Privacy Policy published on the Overseas Trust and Pension website: www.trustandpension.com/legal-and-regulatory

Introduction

Licensing and Jurisdictions

Terms of Business will be issued to the regulated person, body or entity, as applicable, in writing upon acceptance of this application. The Terms are also available online on the Companies' website.

National Applicants:

Applicants operating under a single regulatory license which may extend to offices and branches at a national level, should apply for Terms under the name of the person, body or entity that holds the regulatory license.

National Group Applicants:

Applicants operating multiple regulated bodies or entities at a national level must apply for Terms under the name of each regulated body or entity that intends to do business with OTAP.

Multi-National Applicants:

Applicants operating multiple regulated bodies or entities at a multi-national level must apply for Terms under the name of each regulated body or entity that intends to do business with OTAP.

Where the applicant has specific permissions allowing the passporting of a licenses from one territory to another, proof of passporting must be provided.

Section 1 - General Information

Please complete all sections.

Name of organisation or individual (if sole trader) _____

Type of organisation (please tick)

- Company
- Partnership
- Other, please specify _____

Main contact person _____

Organisation's correspondence address _____

Post Code _____ Country _____

Physical address (if different from the above) _____

Post Code _____ Country _____

Office telephone (incl code) _____ Direct telephone (incl code) _____

Fax (incl code) _____ Mobile telephone (incl code) _____

Email address _____

Website address _____

Section 2 - Regulatory Information

Name of licencing/regulatory body (e.g. the Financial Conduct Authority)

Authorisation Ref No

In which countries are you authorised to operate?

Section 3 - Fee Payment

Where a Client has agreed to your fees being paid from the Products offered by the Company, please indicate the currency in which fees are to be paid. (please tick).

- Sterling
- Euro
- US Dollar

Please provide us with your bank account details

Bank Name

Sorting Code

SWIFT/BIC

IBAN Account Number

Account Name

Account Number

Section 4 – Acceptance of Terms of Business

The Terms are accepted by the authorised signatory(ies) on behalf of the applicant in accordance with the Adviser's company mandate. The provisions of the Terms are hereby incorporated by reference into this application form. By submitting this application form, the applicant agrees to be bound by the provisions of the Terms.

This document can be signed digitally, please request the digital signature link when submitting the completed form to enquiries@trustandpension.com and provide two signatories' email addresses and mobile numbers for an OTP to be sent to their phones.

First signatory (who warrants that he or she is duly authorised to represent the applicant):

Signed

Name

Position

For and on behalf of (Company name)

Date

Second signatory (who warrants that he or she is duly authorised to represent the applicant):

Signed

Name

Position

For and on behalf of (Company name)

Date

Please return this completed form, together with all relevant documentation to:

enquiries@trustandpension.com

Please title this email as "Terms of Business: Your company name." For more information, you can call us on +44 (0) 3333 078888 or +27 (0) 21 851 5584

The Companies will confirm via email if the application has been accepted.

Overseas Trust and Pension

Lefebvre Court, Third Floor, Block B, Lefebvre Street
St Peter Port, Guernsey, GY1 2JP

Telephone: +44 (0) 3333 078888

Email: enquiries@trustandpension.com

Visit: www.trustandpension.com