
Appointment of Investment Manager Form

IMPORTANT INFORMATION

Overseas Trust and Pension (OTAP) is the brand name of Overseas Trust and Pension Ltd, Overseas Pensions and Benefits Ltd and Overseas Pensions Administration Ltd, (the Companies). They are licensed by the Guernsey Financial Services Commission under the Regulation of Fiduciaries, Administration Businesses and Company Directors, etc (Bailiwick of Guernsey) Law, 2020. Overseas Trust and Pension Ltd and Overseas Pensions and Benefits Ltd are registered in Guernsey numbers: 55506 and 39935 respectively. Their registered office is Lefebvre Court, Third Floor, Block B, Lefebvre Street, St Peter Port, Guernsey, GY1 2JP. Overseas Pensions Administration Ltd is registered in Alderney number: 1427 and its registered office is Millennium House, Ollivier Street, St Anne, Alderney, GY9 3TD.

Overseas Trust and Pension Limited is an authorised financial services provider in terms of the South African Financial Advisory and Intermediary Services Act ("FAIS") and is regulated by the Financial Sector Conduct Authority ("FSCA") of South Africa. FSP number 47261.

The Companies do not offer financial, investment or tax advice, any information provided should not be considered as such. The Companies accept no legal liability for losses, damages or expenses which you may incur or suffer directly or indirectly by using this information.

We endeavour to make sure the information is accurate and up-to-date however, no warranty is given as to the accuracy or completeness of any information and no liability is accepted for any errors or omissions in such information.

We strongly recommend that clients take regulated financial and investment advice relevant to their individual circumstances. It is the responsibility of clients and their advisers to review the advice and investments at least annually. The product terms, risks and charges (including: initial, annual and exit) should be considered, understood and agreed with your Financial/Investment Adviser.

Past performance is not a reliable indicator of future results. Investment values and the income from them can go down as well as up and may be affected by changes in rates of exchange. An investor may not receive back the amount initially invested.

Data Privacy Statement: Please refer to our Data Privacy Policy published on the Overseas Trust and Pension website: www.trustandpension.com/legal-and-regulatory.

Section 1 - Personal Details

A. MEMBER DETAILS

Title (e.g. Mr/Mrs/Miss/Ms/Dr/Other)

First Name

Middle Names

Surname

B. INVESTMENT DIRECTION

Third Party Directed

If you selected Third Party Directed above, please complete both the Financial Adviser Details and the Investment Manager Details sections.

Member Directed

If you selected Member Directed above, please complete the Investment Manager Details section.

C. FINANCIAL ADVISER DETAILS

Financial Adviser appointed under the Third Party Directed investment approach.

Please enter the details of your Adviser below and please notify the Trustee of any change to your appointed Adviser in writing.

Name of Professional Advisory Firm

Contact Name

Full Address for Correspondence

Post Code

Country(ies) of operation

Contact Telephone

Contact Fax

Email

Broker Code (Internal Use Only)

D. INVESTMENT MANAGER DETAILS

Name of Firm

Name of Investment Manager

Full Address for Correspondence

Country

Post Code

Contact Fax

Contact Telephone

Email Address

Regulator

Reference Number



E. PLAN DETAILS

Please provide details of your Plan below.

Plan Reference _____

Plan Name _____

F. INVESTMENT MANAGEMENT CHARGES

Please complete below.

Management charge _____ % Or £ / € / US\$ _____

Custody charge _____ % Or £ / € / US\$ _____

Dealing charge _____ % Or £ / € / US\$ _____

Section 2 - Member Declaration

Confirmations by the Member

I hereby request OTAP to appoint the Investment Manager indicated above to manage the investments held in my Plan.

I hereby appoint the Investment Manager to select, manage, and provide regular reviews of the performance of the allocated investments in my Plan.

Investment decisions relating to the allocated investments within my Plan may be taken by my appointed Investment Manager who will provide investment instructions to OTAP.

If the Investment Manager is authorised to provide discretionary advice, investment instructions may be given directly to the custodian or equivalent.

Member to Sign and Date

Signature of Member _____

Print Name _____

Date _____

Where the Plan is Third Party Directed, the appointed Financial Adviser confirms that they have chosen the Investment Manager, as stated in Section 1, and appoint the Investment Manager to select, manage, and provide regular reviews of the performance of the allocated investments held in the Plan.

Financial Adviser to Sign and Date

Signature of Financial Adviser _____

Print Name _____

Date _____