
Discretionary Investment Manager Selection Form

IMPORTANT INFORMATION

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Overseas Trust and Pension Limited is an authorised financial services provider in terms of the South African Financial Advisory and Intermediary Services Act ("FAIS") and is regulated by the Financial Sector Conduct Authority ("FSCA") of South Africa. FSP number 47261.

The Companies do not offer financial, investment or tax advice, any information provided should not be considered as such. The Companies accept no legal liability for losses, damages or expenses which you may incur or suffer directly or indirectly by using this information.

We endeavour to make sure the information is accurate and up-to-date however, no warranty is given as to the accuracy or completeness of any information and no liability is accepted for any errors or omissions in such information.

We strongly recommend that clients take regulated financial and investment advice relevant to their individual circumstances. It is the responsibility of clients and their advisers to review the advice and investments at least annually. The product terms, risks and charges (including: initial, annual and exit) should be considered, understood and agreed with your Financial/Investment Adviser.

Past performance is not a reliable indicator of future results. Investment values and the income from them can go down as well as up and may be affected by changes in rates of exchange. An investor may not receive back the amount initially invested.

Data Privacy Statement: Please refer to our Data Privacy Policy published on the Overseas Trust and Pension website: www.trustandpension.com/legal-and-regulatory.

Section 1 - Personal Details

A. MEMBER DETAILS

Title (e.g. Mr/Mrs/Miss/Ms/Dr/Other)

First Name

Middle Names

Surname

Plan Name

Plan Reference

B. INVESTMENT DIRECTION

The assets in a Pension Plan require management and as the Member it is your responsibility to choose how and who will manage your Plan's assets. To do this, you need to select the Investment Direction that is best suited to your needs and, where appropriate, identify the party who will be managing the investments.

Please select your preferred option

Member Directed - Advised (Using an Adviser)

Most clients utilising our products do so under the continued advice and guidance of an Adviser whom they select.

This arrangement is known as Member Directed (Advised) as you are responsible for the Plan's investments on the recommendation of your Adviser. Your Adviser would guide you on making, changing and reviewing the investments.

Where your selected Adviser recommends the services of a discretionary investment manager as part of their advice, this remains Member Directed - Advised.

If you selected Member Directed - Advised, please complete Sections 2, 3, 4 & 5.

Member Directed - Discretionary (Using a Discretionary Investment Manager)

Some clients prefer to select an Investment Manager, who has full discretion over the Plan's investments, and is responsible for making, changing and reviewing the investments. This arrangement is known as Member Directed - Discretionary, as you are responsible for choosing the Investment Manager.

If you selected Member Directed - Discretionary, please complete Sections 2, 4 & 5.

Section 2 - Removal of Selected Party and Cancellation of Fees

Where I have currently selected a party who advises me on investment matters related to the Plan, I hereby request the removal of the such party (see below) and the cancellation of all/any future fees paid from the Plan or the investments held in the Plan.

Name of Firm

Name of Individual

Contact Telephone

Email Address



Section 3 - Financial Adviser Details

Please complete this Section with your selected Financial Adviser's details to confirm that they have recommended the services of a Discretionary Investment Manager as part of their advice (Member Directed - Advised).

Under the Member Directed - Advised option, it is the Adviser who is accountable to you, the Member, in respect of all investment matters, including selecting a Discretionary Investment Manager. It is your responsibility, as the Member, to hold the Adviser accountable of all investment matters and ensure the Adviser fully explains all risks, costs and charges associated with your Plan and underlying investments.

Name of Professional Advisory Firm	Contact Name
Full Address for Correspondence	
Post Code	Country(ies) of operation
Contact Telephone	Contact Fax
Email	
Broker Code (Internal Use Only)	

Section 4 - Investment Manager Details

Please complete this section to confirm that you, as the Member, or on the recommendation of your selected Financial Adviser (where applicable) would like to select an Investment Manager who has full discretion over the Plan's investments and is responsible for making, changing and reviewing the investments within the Plan.

Under this option, it is the Discretionary Investment Manager who is accountable in respect of all investment matters, including selecting investments appropriate to the Member's investment objectives and risk appetite, to monitor the performance of these investments, and to make adjustments, where necessary. It is the Member's responsibility to hold the Discretionary Investment Manager or Financial Adviser (where applicable) accountable of all investment matters and ensure that all risks, costs and charges associated with the investments are explained in full.

Name of Firm	
Name of Investment Manager	
Full Address for Correspondence	
Country	Post Code
Contact Fax	Contact Telephone
Email Address	
Regulator	Reference Number

Please note: We will require a copy of the signed investment mandate to be submitted along with this Form.

INVESTMENT MANAGEMENT CHARGES

Please complete below with the relevant Investment Management charges.

Management charge _____ % Or £ / € / US\$ _____

Custody charge _____ % Or £ / € / US\$ _____

Dealing charge _____ % Or £ / € / US\$ _____

Section 5 - Member Declaration

Confirmations by the Member

I hereby request OTAP to appoint the Discretionary Investment Manager, as stated in Section 3, to select, manage, and provide regular reviews of the performance of the allocated investments held in the Plan.

Investment decisions relating to the allocated investments within my Plan may be taken by my selected Investment Manager who will provide investment instructions to OTAP. If the Investment Manager is authorised to provide discretionary advice, investment instructions may be given directly to the custodian or equivalent.

Where my selected Adviser recommends the services of a Discretionary Investment Manager as part of their advice, I hereby confirm that OTAP appoints the Discretionary Investment Manager recommended and stated in Section 3.

Member to Sign and Date

Signature of Member

Print Name

Date

Where the Member has opted for Member Directed - Advised, the selected Financial Adviser confirms that they have chosen the Investment Manager, as stated in Section 3, and recommends the services of a Discretionary Investment Manager as part of their advice to select, manage, and provide regular reviews of the performance of the allocated investments held in the Plan.

Financial Adviser to Sign and Date

Signature of Financial Adviser

Print Name

Date